

Docket Number: 008312-0305727

PATENT APPLICATION

Client Reference: T4HW-02S1611-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

KAZUO WATABE et al.

Group Art Unit: UNKNOWN

Application No.: UNASSIGNED

Examiner: UNKNOWN

Filed: August 28, 2003

Confirmation No.: UNKNOWN

For: OPTICAL DISK AND OPTICAL DISK APPARATUS

**INFORMATION DISCLOSURE STATEMENT CITING APPLICATION**

Commissioner for Patents  
Mail Stop Patent Applications  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

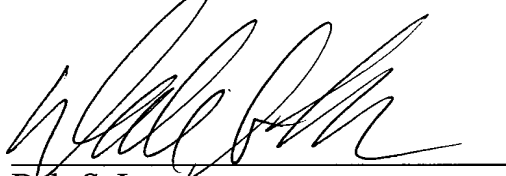
This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of the first Office Action on the merits in the present application. No certification or fee is required.

English-language Abstract of the non-English language reference is attached hereto.

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Additionally, the attached application identified in the attached Appendix is directed to related technical subject matter. The identification of that U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Dale S. Lazar', is written over a horizontal line.

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APPENDIX

Examiner's Initials	First Inventor	Application No.	Filing Date	Enclosed
	KOBAYASHI et al.	10/233,785	09/04/2002	<input checked="" type="checkbox"/> Specification <input checked="" type="checkbox"/> Drawings <input checked="" type="checkbox"/> Other: Filing Receipt

The Examiner's initials indicates he/she has considered the cited application relative to the subject application.

**DO NOT PRINT** the above information on the patent which results from the subject application.

FORM PTO-1449 (modified)  
 To: U.S. Department of Commerce  
 (PW FORM PAT-1449)  
 Patent and Trademark Office

Atty. Dkt. No.	M#	Client Ref.
	0305727	T4HW-02S1611-1

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

Applicant: Kazuo WATABE et al.	
Appln. No.: UNASSIGNED	
Filing Date: August 28, 2003	
Examiner: UNKNOWN	Group Art Unit: UNKNOWN

Date: August 28, 2003 Page 1 of 1

U.S. PATENT DOCUMENTS						
Examiner's Initials*	Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR					
	BR					
	CR					
	DR					
	ER					
	FR					
	GR					
	HR					
	IR					
	JR					
	KR					
	LR					
	MR					
	NR					

FOREIGN PATENT DOCUMENTS							English Abstract		Translation Readily Available	
	Document Number	Date MM/YYYY	Country	Inventor Name			Enclosed	No	Enclose	No
	OR 2000-293856	10/2000	Japan				X			X
	PR									
	QR									
	RR									
	SR									
	TR									
	UR									
	VR									
	WR									
	XR									

OTHER (Including in this order: Author, Title, Periodical Name, Date, Pertinent Pages, etc.)										
	YR									
	ZR									
	AAR									
	BBR									
	CCR									
	DDR									

Examiner	Date Considered:
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	